

### STATE OF WASHINGTON DEPARTMENT OF COMMERCE

## REQUEST FOR APPLICATIONS (RFA) RFA NO. 08232021JR

**NOTE**: If you download this RFA from the Department of Commerce website, you are responsible for sending your name, address, e-mail address, and telephone number to the RFA Coordinator in order for your organization to receive any RFA amendments or applicant questions/agency answers.

**PROJECT TITLE: Job Readiness Grant** 

APPLICATION DUE: Sept. 22, 2021 at 11:59PM, Pacific Time, Olympia, WA

ESTIMATED TIME PERIOD FOR GRANT AGREEMENT: Nov. 3, 2021 – June 30, 2023

**ORGANIZATION ELIGIBILITY:** This grant opportunity is open to those organizations that satisfy the minimum qualifications stated herein and that are available for work in Washington State.

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#### 1. INTRODUCTION

#### 1.1. PURPOSE AND BACKGROUND

As directed in section 129 (69) of the 2021-2023 state operating budget, the Washington State Department of Commerce, hereafter called "COMMERCE," is initiating this Request for Applications (RFA) to solicit applications from nonprofit organizations interested in receiving a grant to provide job readiness skills and training to traditionally underrepresented populations to support the transition to a registered apprenticeship, trade training, or employment.

COMMERCE intends to award one grant to conduct activities described in this RFA.

#### 1.2. OBJECTIVES AND SCOPE OF WORK

The grant award will total \$161,000 for fiscal year 2022 and \$166,250 for fiscal year 2023, and must be used to provide job readiness skills and training to traditionally underrepresented populations to support the transition to a registered apprenticeship, trade training, or employment.

Grant activities must include but are not limited to counseling and training in support of the goals of:

- (a) Minimizing barriers to transitioning to an apprenticeship, trade training program, or employment for participants;
- (b) Increasing participants' workforce and life balance skills; and
- (c) Increasing participants' specialized skills and knowledge in targeted industries, including construction, urban agriculture, and maritime trades.

#### 1.3 MINIMUM QUALIFICATIONS

Minimum qualifications include:

- Licensed to do business in the State of Washington or submit a statement of commitment that it will become licensed in Washington within thirty (30) calendar days of being selected as the Apparently Successful Grantee.
- Must be a nonprofit organization able to serve traditionally underrepresented populations in King and Pierce counties, with a focus on youth development programs.
- Must have 3 years experience delivering job readiness skills and training services in King and/or Pierce counties.

#### 1.4 FUNDING

COMMERCE has budgeted an amount not to exceed \$161,000 for fiscal year 2022 and \$166,250 for fiscal year 2023 for this project. Cost Proposals in excess of this amount will be considered non-responsive and will not be evaluated.

Any grant agreement awarded as a result of this grant opportunity is contingent upon the availability of funding.

#### 1.5 PERIOD OF PERFORMANCE

The period of performance of any grant agreement resulting from this RFA is tentatively scheduled to begin on or about November 1, 2021 and to end on June 30, 2023. Amendments extending the period of performance, if any, shall be at the sole discretion of the COMMERCE.

COMMERCE reserves the right to extend the grant agreement for two two-year periods.

#### 1.6 GRANT AGREEMENTS WITH CURRENT OR FORMER STATE EMPLOYEES

Specific restrictions apply to grant agreements with current or former state employees pursuant to chapter 42.52 of the Revised Code of Washington. Applicants should familiarize themselves with the requirements prior to submitting an application that includes current or former state employees.

#### 1.7 **DEFINITIONS**

Definitions for the purposes of this RFA include:

**Apparent Successful Grantee**: The organization selected as the entity to perform the anticipated activities, subject to completion of grant agreement negotiations and execution of a written grant agreement.

**Organization:** Nonprofit organization interested in the RFA and that may or does submit an application in order to attain a grant agreement with the AGENCY.

**Grantee:** Nonprofit organization whose application has been accepted by COMMERCE and is awarded a fully executed, written grant agreement.

**COMMERCE or AGENCY:** The Department of Commerce is the agency of the state of Washington that is issuing this RFA.

**Application:** A formal offer submitted in response to this solicitation.

**Applicant:** Organization that submits an application in order to attain a grant agreement with COMMERCE.

**Request for Applications (RFA):** Formal grant opportunity document in which an activity or need is identified but no specific method to achieve it has been chosen. The purpose of an RFA is to permit the nonprofit community to suggest various approaches to meet the need at a given price.

#### 1.8 ADA

COMMERCE complies with the Americans with Disabilities Act (ADA). Organizations may contact the RFA Coordinator to receive this Request for Applications in Braille or on tape.

#### 2. GENERAL INFORMATION FOR ORGANIZATIONS

#### 2.1. RFA COORDINATOR

The RFA Coordinator is the sole point of contact in COMMERCE for this grant opportunity. All communication between the Organization and COMMERCE upon release of this RFA shall be with the RFA Coordinator, as follows:

Name	Angie Hong
E-Mail Address	Angie.hong@commerce.wa.gov
Phone Number	360-506-1706

Any other communication will be considered unofficial and non-binding on COMMERCE. Organizations are to rely on written statements issued by the RFA Coordinator. *Communication directed to parties other than the RFA Coordinator may result in disqualification of the Organization.* 

#### 2.2. ESTIMATED SCHEDULE OF GRANT OPPORTUNITY ACTIVITIES

Issue Request for Applications	Aug. 23, 2021
Question & answer period	Aug. 23 – Sept. 3, 2021
Answers to Q&A posted no later than	Sept. 8, 2021
Applications due	Sept. 22, 2021 at 11:59PM Pacific Standard Time
Evaluate applications	Sept. 23 – Oct. 17
Announce "Apparent Successful Grantee" and send notification via e-mail to unsuccessful applicants	Oct. 18, 2021
Hold debriefing conferences (if requested)	Oct. 22 – Oct. 26, 2021
Negotiate grant agreement	Beginning Oct. 19, 2021
Begin grant work (No earlier than)	November 3, 2021

COMMERCE reserves the right to revise the above schedule.

#### 2.3 SUBMISSION OF APPLICATIONS

#### **ELECTRONIC APPLICATIONS:**

The application must be **received by the RFA Coordinator** no later than 11:59PM, Pacific Time, in Olympia, Washington, on **September 22**, **2021**.

Applications must be submitted electronically as an attachment to an e-mail to the RFA Coordinator, at the e-mail address listed in Section 2.1. Attachments to e-mail shall be in Microsoft Word format or PDF. Zipped files cannot be received by COMMERCE and cannot be used for submission of applications. The cover submittal letter and the Certifications and Assurances form must have a scanned signature of the individual within the organization authorized to bind the Organization to the offer. COMMERCE does not assume responsibility for problems with Organization's e-mail. If COMMERCE email is not working, appropriate allowances will be made.

Applications may not be transmitted using facsimile transmission.

Organizations should allow sufficient time to ensure timely receipt of the application by the RFA Coordinator. Late applications will not be accepted and will be automatically disqualified from further consideration, unless COMMERCE e-mail is found to be at fault at COMMERCE'S sole determination. Requests for deadline extensions will not be granted. All applications and any accompanying documentation become the property of COMMERCE and will not be returned.

#### 2.4 PROPRIETARY INFORMATION AND PUBLIC DISCLOSURE

Applications submitted in response to this competitive grant opportunity shall become the property of COMMERCE. All applications received shall remain confidential until the Apparent Successful Grantee is announced; thereafter, the applications shall be deemed public records as defined in Chapter 42.56 of the Revised Code of Washington (RCW).

Any information in the application that the Organization desires to claim as proprietary and exempt from disclosure under the provisions of Chapter 42.56 RCW, or other state or federal law that provides for the nondisclosure of your document, must be clearly designated. The information must be clearly identified and the particular exemption from disclosure upon which the Organization is making the claim must be cited. Each page containing the information claimed to be exempt from disclosure must be clearly identified by the words "Proprietary Information" printed on the lower right hand corner of the page. Marking the entire application exempt from disclosure or as Proprietary Information will not be honored.

If a public records request is made for the information that the Organization has marked as "Proprietary Information," COMMERCE will notify the Organization of the request and of the date that the records will be released to the requester unless the Organization obtains a court order enjoining that disclosure. If the Organization fails to obtain the court order enjoining disclosure, COMMERCE will release the requested information on the date specified. If an Organization obtains a court order from a court of competent jurisdiction enjoining disclosure pursuant to Chapter 42.56 RCW, or other state or federal law that provides for nondisclosure, COMMERCE shall maintain the confidentiality of the Organization's information per the court order.

A charge will be made for copying and shipping, as outlined in RCW 42.56. No fee shall be charged for inspection of grant agreement files, but twenty-four (24) hours' notice to the RFA Coordinator is required. All requests for information should be directed to the RFA Coordinator.

#### 2.5 REVISIONS TO THE RFA

In the event it becomes necessary to revise any part of this RFA, addenda will be provided via e-mail to all individuals who have made the RFA Coordinator aware of their interest. Addenda will also be published on Washington's Electronic Business System (WEBS). The website can be located at <a href="https://fortress.wa.gov/ga/webs/">https://fortress.wa.gov/ga/webs/</a>. For this purpose, the published questions and answers and any other pertinent information shall be provided as an addendum to the RFA and will be placed on the website. Such addenda will also be published on an Agency page, located at <a href="http://www.commerce.wa.gov/serving-communities/current-opportunities/">http://www.commerce.wa.gov/serving-communities/current-opportunities/</a>.

If you downloaded this RFA from the Agency website located at <a href="www.commerce.wa.gov">www.commerce.wa.gov</a>, you are responsible for sending your name, e-mail address, and telephone number to the RFA Coordinator in order for your organization to receive any RFA addenda.

COMMERCE also reserves the right to cancel or to reissue the RFA in whole or in part, prior to execution of a grant agreement.

#### 2.6 DIVERSE BUSINESS INCLUSION PLAN

Responders will be required to submit a Diverse Business Inclusion Plan with their application. In accordance with legislative findings and policies set forth in RCW 39.19, the state of Washington encourages participation in all contracts by firms certified by the office of Minority and Women's Business Enterprises (OMWBE), set forth in RCW 43.60A.200 for firms certified by the Washington State Department of Veterans Affairs, and set forth in RCW 39.26.005 for firms that are Washington Small Businesses. Participation may be either on a direct basis or on a subcontractor basis. However, no preference on the basis of participation is included in the evaluation of Diverse Business Inclusion Plans submitted, and no minimum level of minority- and women-owned business enterprise (MWBE), Washington Small Business, or Washington State certified Veteran Business participation is required as a condition for receiving an award. Any affirmative action requirements set forth in any federal governmental rules included or referenced in the contract documents will apply.

#### COMMERCE has the following agency goals:

10% participation by Minority Owned Business

6% participation by Women Owned Business

5% participation by Veteran Owned Business

5% participation by Small Businesses

#### 2.7 ACCEPTANCE PERIOD

Applications must provide 60 days for acceptance by COMMERCE from the due date for receipt of applications.

#### 2.8 COMPLAINT PROCESS

Vendors may submit a complaint to COMMERCE based on any of following:

- a) The solicitation unnecessarily restricts competition;
- b) The solicitation evaluation or scoring process is unfair; or
- c) The solicitation requirements are inadequate or insufficient to prepare a response.

A complaint may be submitted to COMMERCE at any time prior to 5 days before the application response deadline. The complaint must meet the following requirements:

- a) The complaint must be in writing;
- b) The complaint must be sent to the RFA coordinator in a timely manner;
- c) The complaint should clearly articulate the basis for the complaint; and
- d) The complaint should include a proposed remedy.

The RFA coordinator will respond to the complaint in writing. The response to the complaint and any changes to the solicitation will be posted on WEBS. The Director of COMMERCE will be notified of all complaints and will be provided a copy of COMMERCE'S response. The complaint may not be raised again during the protest period. COMMERCE'S action or inaction in response to the complaint will be final. There will be no appeal process.

#### 2.9 RESPONSIVENESS

All applications will be reviewed by the RFA Coordinator to determine compliance with administrative requirements and instructions specified in this RFA. The Organization is specifically notified that failure to comply with any part of the RFA may result in rejection of the application as non-responsive.

COMMERCE also reserves the right at its sole discretion to waive minor administrative irregularities.

#### 2.10 MOST FAVORABLE TERMS

COMMERCE reserves the right to make an award without further discussion of the application submitted. Therefore, the application should be submitted initially on the most favorable terms which the Organization can propose. There will be no best and final offer procedure. COMMERCE reserves the right to contact an Organization for clarification of its application.

The Apparent Successful Grantee should be prepared to accept this RFA for incorporation into a grant agreement resulting from this RFA. Grant agreement negotiations may incorporate some, or all, of the Organization's application. It is understood that the application will become a part of the official grant opportunity file on this matter without obligation to COMMERCE.

#### 2.11 GRANT AGREEMENT GENERAL TERMS & CONDITIONS

The apparent successful grantee will be expected to enter into a grant agreement which is substantially the same as the sample grant agreement and its general terms and conditions attached as Exhibit C. In no event is an Organization to submit its own standard grant agreement terms and conditions in response to this solicitation. The Organization may submit exceptions as allowed in the Certifications and Assurances form, Exhibit A to this solicitation. All exceptions to the grant agreement terms and conditions must be submitted as an attachment to Exhibit A, Certifications and Assurances form. COMMERCE will review requested exceptions and accept or reject the same at its sole discretion.

#### 2.12 COSTS TO APPLY

COMMERCE will not be liable for any costs incurred by the Organization in preparation of an application submitted in response to this RFA, travel to or conduct of a presentation, or any other activities related to responding to this RFA.

#### 2.13 NO OBLIGATION TO GRANT AGREEMENT

This RFA does not obligate the state of Washington or COMMERCE to enter into a grant agreement for activities specified herein.

#### 2.14 REJECTION OF APPLICATIONS

COMMERCE reserves the right at its sole discretion to reject any and all applications received without penalty and not to issue a grant agreement as a result of this RFA.

#### 2.15 COMMITMENT OF FUNDS

The Director of COMMERCE or delegate is the only individual who may legally commit COMMERCE to the expenditures of funds for a grant agreement resulting from this RFA. No cost chargeable to the proposed grant agreement may be incurred before receipt of a fully executed grant agreement.

#### 2.16 ELECTRONIC PAYMENT

The state of Washington prefers to utilize electronic payment in its transactions. The successful grantee will be provided a form to complete with the grant agreement to authorize such payment method.

#### 2.17 INSURANCE COVERAGE

The Grantee is to furnish COMMERCE with a certificate(s) of insurance executed by a duly authorized representative of each insurer, showing compliance with the insurance requirements set forth within the grant agreement.

The Grantee shall, at its own expense, obtain and keep in force insurance coverage which shall be maintained in full force and effect during the term of the grant agreement. The Grantee shall furnish evidence in the form of a Certificate of Insurance that insurance shall be provided, and a copy shall be forwarded to COMMERCE within fifteen (15) days of the grant agreement effective date. Standard insurance requirements are included within the sample grant agreement and its special terms and conditions attached as Exhibit C.

#### 3. APPLICATION CONTENTS

#### **ELECTRONIC APPLICATIONS:**

Applications must be written in English and submitted electronically to the RFA Coordinator in the order noted below:

- 1. Letter of Submittal, including signed Certifications and Assurances (Exhibit A to this RFA)
- 2. Technical Proposal
- 3. Management Proposal
- 4. Cost Proposal
- 5. Diverse Business Inclusion Plan (Exhibit B to this RFA)
- 6. Workers' Rights Certification (Exhibit C to this RFA)

Applications must provide information in the same order as presented in this document with the same headings. This will not only be helpful to the evaluators of the application, but should assist the Organization in preparing a thorough application.

Items marked "mandatory" must be included as part of the application for the application to be considered responsive, however, these items are not scored. Items marked "scored" are those that are awarded points as part of the evaluation conducted by the evaluation team.

#### 3.1. LETTER OF SUBMITTAL (MANDATORY)

The Letter of Submittal and the attached Certifications and Assurances form (Exhibit A to this RFA) must be signed and dated by a person authorized to legally bind the Organization to a contractual relationship, e.g., the President or Executive Director if a corporation, the managing partner if a partnership, or the proprietor if a sole proprietorship. Along with introductory remarks, the Letter of Submittal is to include by attachment the following information about the Organization and any proposed subcontractors:

- **A.** Name, address, principal place of business, telephone number, and fax number/e-mail address of legal entity or individual with whom grant agreement would be written.
- **B.** Name, address, and telephone number of each principal officer (President, Vice President, Treasurer, Chairperson of the Board of Directors, etc.)
- **C.** Legal status of the Organization (sole proprietorship, partnership, corporation, etc.) and the year the entity was organized to do business as the entity now substantially exists.
- D. Federal Employer Tax Identification number or Social Security number and the Washington Uniform Business Identification (UBI) number issued by the state of Washington Department of Revenue. If the Organization does not have a UBI number, the Organization must state that it will become licensed in Washington within thirty (30) calendar days of being selected as the Apparently Successful Grantee.
- **E.** Location of the facility from which the Organization would operate.
- **F.** Identify any state employees or former state employees employed or on the organization's governing board as of the date of the application. Include their position and responsibilities within the organization. If following a review of this information, it is determined by COMMERCE that a conflict of interest exists, the Organization may be disqualified from further consideration for the award of a grant agreement.

#### 3.2. TECHNICAL PROPOSAL (SCORED)

The Technical Proposal must contain a comprehensive description of activities including the following elements:

- **A. Project Approach/Methodology**: Include a complete description of the Organization's proposed approach and methodology for providing job readiness skills and training to traditionally underrepresented populations, focusing on youth development, to support the transition to a registered apprenticeship, trade training, or employment. This section should convey Organization's understanding of the proposed project.
- **B. Diversity**, **Equity**, **and Inclusion**: Describe how your organization currently integrates the values of DEI into the delivery of job readiness and training. Specifically:

*Diversity*: describe the peoples served by your organization in King and Pierce Counties and the strategies you have used in the past to established relationships with them.

*Equity*: does your organization serve underrepresented or disadvantaged peoples? If so, how is this managed and documented?

*Inclusion*: if applicable, address expansion efforts in the areas of outreach, partnership development, or subcontracting as it pertains to expanding service delivery to include additional, unreached people groups in King and Pierce Counties with job readiness and training services.

- **C.** Outcomes and Performance Measurement: Describe the impacts/outcomes the Organization proposes to achieve as a result of the delivery of these activities, including how these outcomes would be monitored, measured and reported to the state agency.
- D. Work Plan: Include all required activities, proposed tasks, etc. necessary to accomplish the scope of work described in section 1.2 of this RFA. This section of the technical proposal must contain sufficient detail to convey to members of the evaluation team the Organization's knowledge of the subjects and skills necessary to successfully carry out grant activities. Include proposed involvement of COMMERCE staff. The Organization may also present any creative approaches that might be appropriate and may provide any pertinent supporting documentation.

Section 1.2: "Grant activities must include but are not limited to counseling and training in support of the goals of:

- (a) Minimizing barriers to transitioning to an apprenticeship, trade training program, or employment for participants;
- (b) Increasing participants' workforce and life balance skills; and
- (c) Increasing participants' specialized skills and knowledge in targeted industries, including construction, urban agriculture, and maritime trades."

- **E. Deliverables**: Fully describe deliverables to be submitted under the proposed grant agreement. Deliverables must support the requirements set forth in Section 1.2, Objectives and Scope of Work.
- **F. Project Schedule**: Include a project schedule indicating when the elements of the work will be completed. Project schedule must ensure that any deliverables requested are met.
- **G. Risks:** The Organization must identify potential risks that are considered significant to the success of the project. Include how the Organization would propose to effectively monitor and manage these risks, including reporting of risks to the COMMERCE grant manager.

#### 3.3. MANAGEMENT PROPOSAL

#### A. Minimum Qualifications (MANDATORY)

#### ANSWER YES OR NO

- 1. Is your organization licensed to do business in the State of Washington. If not, your organization must submit a statement of commitment that it will become licensed in Washington within thirty (30) calendar days of being selected as the Apparently Successful Grantee?
- 2. Is your organization a nonprofit organization that is able to serve traditionally underrepresented populations in King and Pierce counties, with a focus on youth development programs?
- 3. Does your organization have 3-years-experience delivering job readiness skills and training services in King and/or Pierce counties?

#### B. Experience of the Organization (SCORED)

- Indicate the experience the Organization and any subcontractors have in the following areas:
  - a. Describe your organization's experience managing youth development programs and/or activities.
  - b. Describe your organization's experience delivering job readiness and training services.
  - c. Indicate other relevant experience that indicates the qualifications of the Organization, and any subcontractors, for the performance of the potential grant agreement.
- 2. Include a list of grant agreements the Organization has had during the last five years that relate to the Organization's ability to perform the activities needed under this RFA. List grant agreement reference numbers, grant agreement periods of performance, contact persons, telephone numbers, and fax numbers/e-mail addresses.

#### C. Project Management (SCORED)

 Project Team Structure and Internal Controls: Provide a description of the proposed project team structure and internal controls to be used during the course of the project, including any subcontractors. Provide an organizational chart of your organization indicating lines of authority for personnel involved in performance of this potential grant agreement and relationships of this staff to other programs or functions of the organization. This chart must also show lines of authority to the next senior level of management. Include who within the organization will have prime responsibility and final authority for the work.

2. Staff Qualifications and Experience: Identify staff, including subcontractors, who will be assigned to the potential grant agreement, indicating the responsibilities and qualifications of such personnel, and include the amount of time each will be assigned to the project. Provide resumes for the named staff, which include information on the individual's particular skills related to this project, education, experience, significant accomplishments and any other pertinent information. The Organization must commit that staff identified in its application will actually perform the assigned work. Any staff substitution must have the prior approval of the AGENCY.

#### D. Related Information (MANDATORY)

- 1. If the Organization or any subcontractor received grants from the state of Washington during the past 24 months, indicate the name of the agency, the grant agreement number and project description and/or other information available to identify the grant agreement.
- 2. If the Organization's staff or subcontractor's staff was an employee of the state of Washington during the past 24 months, or is currently a Washington State employee, identify the individual by name, the agency previously or currently employed by, job title or position held and separation date.
- 3. If the Organization has had a grant agreement terminated for default in the last five years, describe such incident. Termination for default is defined as notice to stop performance due to the Organization's non-performance or poor performance and the issue of performance was either (a) not litigated due to inaction on the part of the Applicant, or (b) litigated and such litigation determined that the Applicant was in default.
- 4. Submit full details of the terms for default including the other party's name, address, and phone number. Present the Organization's position on the matter. COMMERCE will evaluate the facts and may, at its sole discretion, reject the application on the grounds of the past experience. If no such termination for default has been experienced by the Organization in the past five years, so indicate.

#### E. References (MANDATORY)

List names, addresses, telephone numbers, and fax numbers/e-mail addresses of three (3) business references for the Organization and three (3) business references for the lead staff person for whom work has been accomplished (totaling six) and briefly describe the type of activities performed. Do not include current COMMERCE staff as references. By submitting an application in response to this Work Request, the vendor and team members grant permission to COMMERCE to contact these references and others, who from COMMERCE'S perspective, may have pertinent information. COMMERCE may or may not, at COMMERCE'S discretion, contact references. COMMERCE may evaluate references at COMMERCE'S discretion.

#### F. OMWBE Certification (OPTIONAL AND NOT SCORED)

Include proof of certification issued by the Washington State Office of Minority and Women's Business Enterprises (OMWBE) if certified minority-, women-, or veteran-owned organization(s) will be participating on this project. For more information please visit: <a href="http://www.omwbe.wa.gov">http://www.omwbe.wa.gov</a>.

#### 3.4. COST PROPOSAL

The maximum fee for this grant agreement must not exceed the amount specified in section 1.4 to be considered responsive to this RFA.

Section 1.4: "The grant award will total \$161,000 for fiscal year 2022 and \$166,250 for fiscal year 2023.

The evaluation process is designed to award this grant opportunity not necessarily to the Organization of least cost, but rather to the Organization whose application best meets the requirements of this RFA. However, Organizations are encouraged to submit proposals which are consistent with state government efforts to conserve state resources.

#### A. Identification of Costs (SCORED)

Identify all costs in U.S. dollars including expenses to be charged for performing the activities necessary to accomplish the objectives of the grant agreement. The Organization is to submit a fully detailed budget including staff costs and any expenses necessary to accomplish the tasks and to produce the deliverables under the grant agreement. Organizations are required to collect and pay Washington state sales and use taxes, as applicable.

Costs for subcontractors are to be broken out separately. Please note if any subcontractors are certified by the Office of Minority and Women's Business Enterprises.

#### B. Computation

The score for the cost proposal will be computed by dividing the lowest cost proposal received by the Organization's total cost.

The score for the cost proposal will be computed by dividing the lowest cost proposal received by the Organization's cost proposal and multiply the result against the cost proposal points possible (10 points).

 $x = \frac{\text{Lowest Cost Proposal Received}}{\text{Organization's Cost Proposal}}$ 

 $Cost\ Computation = (x)\ (Cost\ Proposal\ Points\ Possible)$ 

#### 4. EVALUATION AND GRANT AGREEMENT AWARD

#### 4.1. EVALUATION PROCEDURE

Responsive applications will be evaluated strictly in accordance with the requirements stated in this solicitation and any addenda issued. The evaluation of applications shall be accomplished by an evaluation team(s), to be designated by COMMERCE, which will determine the ranking of the applications.

COMMERCE, at its sole discretion, may elect to invite the top-scoring organizations as finalists for an oral presentation.

The RFA Coordinator may contact the Organization for clarification of any portion of the Organization's application.

#### 4.2. EVALUATION BREAKDOWN

The following weighting will be assigned to the application for evaluation purposes:

Technical Proposal – 60% Project Approach/Methodology Diversity, Equity, and Inclusion Outcome and Performance Measurement Work Plan Deliverables Project Schedule Risks	60 points possible
Management Proposal – 40% Experience of Organization Team Structure/Internal Controls Staff Qualifications and Experience	40 points possible
Cost Proposal is computed in accordance with section 3.4(B) and the result is then added to the combined score for the Technical and Management Proposals.	10 points possible
Workers' Rights Certification – Those organizations which certify they do not require their employees to sign an individual arbitration clause as a condition of employment will receive an extra 3% added to their score (see Attachment C).	3.3 points possible

COMMERCE reserves the right to award the grant agreement to the Organization whose application is deemed to be in the best interest of COMMERCE and the state of Washington.

#### 4.3. ORAL PRESENTATIONS MAY BE REQUIRED

After evaluating the written applications COMMERCE may elect to schedule oral presentations of the finalists. Should oral presentations become necessary, COMMERCE will contact the top-scoring organization(s) from the written evaluation to schedule a date, time, and location. Commitments made by the Organization at the oral interview, if any, will be considered binding.

The oral presentation will determine the apparent successful grantee.

#### 4.4. NOTIFICATION TO APPLICANTS

COMMERCE will notify the Apparently Successful Grantee of their selection in writing upon completion of the evaluation process. Individuals or organizations whose applications were not selected for further negotiation or award will be notified separately by e-mail.

#### 4.5. DEBRIEFING OF UNSUCCESSFUL APPLICANTS

Any Organization who has submitted an application and been notified that they were not selected for grant award may request a debriefing. The request for a debriefing conference must be received by the RFA Coordinator within three (3) business days after the Unsuccessful Organization Notification is e-mailed or faxed to the Organization. Debriefing requests must be received by the RFA Coordinator no later than 5:00 PM, local time, in Olympia, Washington, on the third business day following the transmittal of the Unsuccessful Organization Notification. The debriefing must be scheduled within three (3) business days of the request.

Discussion at the debriefing conference will be limited to the following:

- Evaluation and scoring of the applicant's application;
- Critique of the application based on the evaluation;
- Review of applicant's final score in comparison with other final scores without identifying the
  other applicants or reviewing their applications.

Comparisons between applications or evaluations of the other applications will not be allowed. Debriefing conferences may be conducted in person or on the telephone and will be scheduled for a maximum of one hour.

#### 4.6. PROTEST PROCEDURE

Protests may be made only by Organizations who submitted a response to this solicitation document and who have participated in a debriefing conference. Upon completing the debriefing conference, the Organization is allowed five (5) business days to file a protest of the acquisition with the RFA Coordinator. Protests must be received by the RFA Coordinator no later than 5:00 PM, local time, in Olympia, Washington on the fifth business day following the debriefing. Protests may be submitted by e-mail or facsimile, but must then be followed by the document with an original signature.

Organizations protesting this grant opportunity shall follow the procedures described below. Protests that do not follow these procedures shall not be considered. This protest procedure constitutes the sole administrative remedy available to Organizations under this grant opportunity.

All protests must be in writing, addressed to the RFA Coordinator, and signed by the protesting party or an authorized Agent. The protest must state the RFA number, the grounds for the protest with specific facts and complete statements of the action(s) being protested. A description of the relief or corrective action being requested should also be included.

Only protests stipulating an issue of fact concerning the following subjects shall be considered:

- A matter of bias, discrimination, or conflict of interest on the part of an evaluator;
- Errors in computing the score:
- Non-compliance with procedures described in the grant opportunity document or COMMERCE policy.

Protests not based on procedural matters will not be considered. Protests will be rejected as without merit if they address issues such as: 1) an evaluator's professional judgment on the quality of a application, or 2) COMMERCE'S assessment of its own and/or other agencies needs or requirements.

Upon receipt of a protest, a protest review will be held by COMMERCE. The COMMERCE Director or an employee delegated by the Director who was not involved in the grant opportunity will consider the record and all available facts and issue a decision within ten (10) business days of receipt of the protest. If additional time is required, the protesting party will be notified of the delay.

In the event a protest may affect the interest of another Organization that also submitted an application, such Organization will be given an opportunity to submit its views and any relevant information on the protest to the RFA Coordinator.

The final determination of the protest shall:

- Find the protest lacking in merit and uphold COMMERCE'S action; or
- Find only technical or harmless errors in COMMERCE'S acquisition process and determine COMMERCE to be in substantial compliance and reject the protest; or
- Find merit in the protest and provide COMMERCE options which may include:
  - o Correct the errors and re-evaluate all applications, and/or
  - o Reissue the solicitation document and begin a new process, or
  - o Make other findings and determine other courses of action as appropriate.

If COMMERCE determines that the protest is without merit, COMMERCE will enter into a contract with the apparently successful grantee. If the protest is determined to have merit, one of the alternatives noted in the preceding paragraph will be taken.

### 5. RFA EXHIBITS

Exhibit A	Certifications and Assurances
Exhibit B	Diverse Business Inclusion Plan
Exhibit C	Workers' Rights Certification
Exhibit D	State Funded General Grant Agreement including General Terms and Conditions

#### **CERTIFICATIONS AND ASSURANCES**

I/we make the following certifications and assurances as a required element of the proposal to which it is attached, understanding that the truthfulness of the facts affirmed here and the continuing compliance with these requirements are conditions precedent to the award or continuation of the related contract:

- 1. I/we declare that all answers and statements made in the proposal are true and correct.
- 2. The prices and/or cost data have been determined independently, without consultation, communication, or agreement with others for the purpose of restricting competition. However, I/we may freely join with other persons or organizations for the purpose of presenting a single proposal.
- 3. The attached proposal is a firm offer for a period of 60 days following receipt, and it may be accepted by COMMERCE without further negotiation (except where obviously required by lack of certainty in key terms) at any time within the 60-day period.
- 4. In preparing this proposal, I/we have not been assisted by any current or former employee of the state of Washington whose duties relate (or did relate) to this proposal or prospective contract, and who was assisting in other than his or her official, public capacity. If there are exceptions to these assurances, I/we have described them in full detail on a separate page attached to this document.
- 5. I/we understand that COMMERCE will not reimburse me/us for any costs incurred in the preparation of this proposal. All proposals become the property of COMMERCE, and I/we claim no proprietary right to the ideas, writings, items, or samples, unless so stated in this proposal.
- 6. Unless otherwise required by law, the prices and/or cost data which have been submitted have not been knowingly disclosed by the Proposer and will not be knowingly disclosed by him/her prior to opening, directly or indirectly, to any other Proposer or to any competitor.
- 7. I/we agree that submission of the attached proposal constitutes acceptance of the solicitation contents and the attached sample contract and general terms and conditions. If there are any exceptions to these terms, I/we have described those exceptions in detail on a page attached to this document.
- 8. No attempt has been made or will be made by the Proposer to induce any other person or firm to submit or not to submit a proposal for the purpose of restricting competition.
- 9. I/we grant COMMERCE the right to contact references and others who may have pertinent information regarding the ability of the Consultant and the lead staff person to perform the services contemplated by this RFA.
- 10. If any staff member(s) who will perform work on this contract has retired from the State of Washington under the provisions of the 2008 Early Retirement Factors legislation, his/her name(s) is noted on a separately attached page.

We (check one):  are submitting proposed Contract exceptions. (See Section 2.12, Contract and General Terms and Conditions.) If Contract exceptions are being submitted, I/we have attached them to this form.  are not submitting proposed Contract exceptions (default if neither are checked).					
On behalf of the Consultant submitting this proposal, above statement as well as my authority to bind the sub	, ,				
Signature of Proposer	Date				

**Printed Name** 

Title

**Exhibit B** 

### **DIVERSE BUSINESS INCLUSION PLAN**

Do you anticipate using, or is your firm, a State Certified Minority Business?  Y/N  Do you anticipate using, or is your firm, a State Certified Women's Business?  Y/N  Do you anticipate using, or is your firm, a State Certified Veteran Business?  Y/N  Do you anticipate using, or is your firm, a Washington State Small Business?  Y/N						
If you answered No	If you answered No to all of the questions above, please explain:					
· · · ·	•	ntage of work t	to be accomplished by eacl	n group:		
	%					
Women Veteran	% %					
Small Business						
Please identify the responsibility:	person in you	ır organization	n who will manage your D	iverse Inclusion Plar		
Name:						
Phone:						
F-Mail·						

# CONTRACTOR CERTIFICATION EXECUTIVE ORDER 18-03 – WORKERS' RIGHTS WASHINGTON STATE GOODS & SERVICES CONTRACTS

Pursuant to the Washington State Governor's Executive Order 18-03 (dated June 12, 2018), the Washington State Department of Commerce is seeking to contract with qualified entities and business owners who certify that their employees are not, as a condition of employment, subject to mandatory individual arbitration clauses and class or collective action waivers.

Solicitation No.:						
I hereby o	certify, on behalf of the firm identifie	ed below, as follows (check one):				
	□ No Mandatory Individual Arbitration Clauses and Class or Collective Action Walvers for Employees. This firm does NOT require its employees, as a condition of employment, to sign or agree to mandatory individual arbitration clauses or class or collective action waivers.					
	OR					
	☐ MANDATORY INDIVIDUAL ARBITRATION CLAUSES AND CLASS OR COLLECTIVE ACTION WAIVERS FOR EMPLOYEES. This firm requires its employees, as a condition of employment, to sign or agree to mandatory individual arbitration clauses or class or collective action waivers.  OR					
	This firm certifies it has no employ	yees.				
I hereby certify, under penalty of perjury under the laws of the State of Washington, that the certifications herein are true and correct and that I am authorized to make these certifications on behalf of the firm listed herein.						
FIRIVI INAI	FIRM NAME:  Name of Contractor/Bidder – Print full legal entity name of firm					
By:						
	gnature of authorized person	Printed Name				
Title:	tle of person signing certificate	Print city and state where signed				
Date: _						
Ret	Return Contractor Certification to Procurement Coordinator as part of your complete response.					

Page 1 of 1

### **Grant Agreement with**

### <Individual or Grantee organization here>

### through

**Community Economic Opportunity Unit/ Community Services and Housing Division** 

For

Provide job readiness skills and training to traditionally underrepresented populations to support the transition to a registered apprenticeship, trade training, or employment in King and Pierce Counties.

**Start date:** November 3, 2021

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Attachment A - FY22.23 Job Readiness and Training Scope of Work

#### **FACE SHEET**

**Contract Number: S22-32920-001** 

### **Washington State Department of Commerce**

# Community Services and Housing Division Community Economic Opportunities Unit Job Readiness and Training

1. Grantee		2. Grantee Doing Business As (optional)		
<pre><insert legal="" name=""> <insert address="" mailing=""> <insert address<="" physical="" pre=""> <insert location=""></insert></insert></insert></insert></pre>				
3. Grantee Representativ	е	4. COMMERCE Rep	resentative	
<insert name=""> <insert title=""> <insert phone=""> <insert fax=""> <insert e-mail=""></insert></insert></insert></insert></insert>		Diane Giannobile PO Box 42525 Program Manager 1011 Plum Street 360.764.3355 SE Diane.giannobile@commerce.wa.gov 2525		1011 Plum Street SE Olympia, WA 98504-
5. Grant Amount	6. Funding Source		7. Start Date	8. End Date
\$327,250.00	Federal: ☐ State: ☒ Oth	her: 🗌 N/A: 🗌	11/03/2021	06/30/2023
9. Federal Funds (as app	licable)		CFDA Numb	<u>er</u>
<insert \$="" amount=""></insert>	Federal Agency:		<insert num<="" td=""><td>ber&gt;</td></insert>	ber>
	<insert name=""></insert>			
10. Tax ID #	11. SWV #	12. UBI #		13. DUNS #
<insert number=""></insert>	<insert number=""></insert>	<insert number=""></insert>		<insert number=""></insert>
14. Grant Purpose				
	section 129 (69), provide transition to a registered a			
COMMERCE, defined as the Department of Commerce, and the Grantee, as defined above, acknowledge and accept the terms of this Grant and attachments and have executed this Grant on the date below to start as of the date and year referenced above. The rights and obligations of both parties to this Grant are governed by this Grant and the following other documents incorporated by reference: Grant Terms and Conditions including Attachment "A" – FY22.23 Job Readiness and Training Scope of Work.				elow to start as of the are governed by this
FOR GRANTEE		FOR COMMERCE		
<insert name="">, <insert td="" tit<=""><td>:le&gt;</td><td colspan="3">Diane Klontz, Assistant Director, CSHD</td></insert></insert>	:le>	Diane Klontz, Assistant Director, CSHD		
Date		Date		
		APPROVED AS TO FORM ONLY BY ASSISTANT ATTORNEY GENERAL APPROVAL ON FILE		

#### 1. GRANT MANAGEMENT

The Representative for each of the parties shall be responsible for and shall be the contact person for all communications and billings regarding the performance of this Grant.

The Representative for COMMERCE and their grant information are identified on the Face Sheet of this Grant.

The Representative for the Grantee and their contact information are identified on the Face Sheet of this Grant.

#### 2. COMPENSATION

COMMERCE shall pay an amount not to exceed \$327,250.00 for the performance of all things necessary for or incidental to the performance of work as set forth in the Scope of Work. Grantee's compensation for services rendered shall be based on the following rates or in accordance with the following terms:

- FY22 (11/03/2021 06/30/2022)
  - Commerce shall pay an amount not to exceed \$161,000.00.
  - Funds not expended during FY22 shall remain unspent and may not be carried forward in FY23.
- FY23 (07/01/2022 06/30/2023)
  - Commerce shall pay an amount not to exceed \$166,250.00
  - Funds not expended during FY23 shall remain unspent and may not be carried forward in FY24.

#### 3. BILLING PROCEDURES AND PAYMENT

COMMERCE will pay Grantee upon acceptance of services provided and receipt of properly completed invoices, which shall be submitted to the Representative for COMMERCE monthly.

The invoices shall describe and document, to COMMERCE's satisfaction, a description of the work performed, the progress of the project, and fees. The invoice shall include the Grant Number S22-32920-001. If expenses are invoiced, provide a detailed breakdown of each type. A receipt must accompany any single expenses in the amount of \$50.00 or more in order to receive reimbursement.

Payment shall be considered timely if made by COMMERCE within thirty (30) calendar days after receipt of properly completed invoices. Payment shall be sent to the address designated by the Grantee.

COMMERCE may, in its sole discretion, terminate the Grant or withhold payments claimed by the Grantee for services rendered if the Grantee fails to satisfactorily comply with any term or condition of this Grant.

No payments in advance or in anticipation of services or supplies to be provided under this Agreement shall be made by COMMERCE.

#### Invoices and End of Fiscal Year

Invoices are due on the 20th of the month following the provision of services.

Final invoices for a state fiscal year may be due sooner than the 20th and Commerce will provide notification of the end of fiscal year due date.

The grantee must invoice for all expenses from the beginning of the contract through June 30, regardless of the contract start and end date.

#### **Duplication of Billed Costs**

The Grantee shall not bill COMMERCE for services performed under this Agreement, and COMMERCE shall not pay the Grantee, if the Grantee is entitled to payment or has been or will be paid by any other source, including grants, for that service.

#### **Disallowed Costs**

The Grantee is responsible for any audit exceptions or disallowed costs incurred by its own organization or that of its subgrants/subcontracts.

COMMERCE may, in its sole discretion, withhold ten percent (10%) from each payment until acceptance by COMMERCE of the final report or completion of the project, etc.

#### 4. SUBCONTRACTOR DATA COLLECTION

Grantee will submit reports, in a form and format to be provided by Commerce and at intervals as agreed by the parties, regarding work under this Grant performed by subcontractors and the portion of Grant funds expended for work performed by subcontractors, including but not necessarily limited to minority-owned, woman-owned, and veteran-owned business subcontractors. "Subcontractors" shall mean subcontractors of any tier.

#### 5. INSURANCE

The Grantee shall provide insurance coverage as set out in this section. The intent of the required insurance is to protect the state should there be any claims, suits, actions, costs, damages or expenses arising from any loss, or negligent or intentional act or omission of the Grantee or Subgrantee/subcontractor, or agents of either, while performing under the terms of this Grant. Failure to maintain the required insurance coverage may result in termination of this Grant.

The insurance required shall be issued by an insurance company authorized to do business within the state of Washington. Except for Professional Liability or Errors and Omissions Insurance, the insurance shall name the state of Washington, its agents, officers, and employees as additional insureds under the insurance policy. All policies shall be primary to any other valid and collectable insurance. The Grantee shall instruct the insurers to give COMMERCE thirty (30) calendar days advance notice of any insurance cancellation, non-renewal or modification.

The Grantee shall submit to COMMERCE within fifteen (15) calendar days of a written request by COMMERCE, a certificate of insurance which outlines the coverage and limits defined in this insurance section. During the term of the Grant, if required or requested, the Grantee shall submit renewal certificates not less than thirty (30) calendar days prior to expiration of each policy required under this section.

The Grantee shall provide, at COMMERCE's request, copies of insurance instruments or certifications from the insurance issuing agency. The copies or certifications shall show the insurance coverage, the designated beneficiary, who is covered, the amounts, the period of coverage, and that COMMERCE will be provided thirty (30) days' advance written notice of cancellation.

The Grantee shall provide insurance coverage that shall be maintained in full force and effect during the term of this Grant, as follows:

Commercial General Liability Insurance Policy. Provide a Commercial General Liability Insurance Policy, including contractual liability, written on an occurrence basis, in adequate quantity to protect against legal liability arising out of Grant activity but no less than \$1,000,000 per occurrence. Additionally, the Grantee is responsible for ensuring that any Subgrantee/subcontractor provide adequate insurance coverage for the activities arising out of subgrants/subcontracts.

Automobile Liability. In the event that performance pursuant to this Grant involves the use of vehicles, owned or operated by the Grantee or its Subgrantee/subcontractor, automobile liability insurance shall be required. The minimum limit for automobile liability is \$1,000,000 per occurrence, using a Combined Single Limit for bodily injury and property damage.

Professional Liability, Errors and Omissions Insurance. The Grantee shall maintain Professional Liability or Errors and Omissions Insurance. The Grantee shall maintain minimum limits of no less than \$1,000,000 per occurrence to cover all activities by the Grantee and licensed staff employed or under contract to the Grantee. The state of Washington, its agents, officers, and employees need *not* be named as additional insureds under this policy.

Fidelity Insurance. Every officer, director, employee, or agent who is authorized to act on behalf of the Grantee for the purpose of receiving or depositing funds into program accounts or issuing financial documents, checks, or other instruments of payment for program costs shall be insured to provide protection against loss:

- A. The amount of fidelity coverage secured pursuant to this Grant shall be \$100,000 or the highest of planned reimbursement for the Grant period, whichever is lowest. Fidelity insurance secured pursuant to this paragraph shall name COMMERCE as beneficiary.
- B. Subgrantees/subcontractors that receive \$10,000 or more per year in funding through this Grant shall secure fidelity insurance as noted above. Fidelity insurance secured by Subgrantee/subcontractors pursuant to this paragraph shall name the Grantee as beneficiary.

#### 6. ORDER OF PRECEDENCE

In the event of an inconsistency in this Grant, the inconsistency shall be resolved by giving precedence in the following order:

- Applicable federal and state of Washington statutes and regulations
- Special Terms and Conditions
- General Terms and Conditions
- Attachment A FY22.23 Job Readiness and Training Scope of Work

#### 1. **DEFINITIONS**

As used throughout this Grant, the following terms shall have the meaning set forth below:

- A. "Authorized Representative" shall mean the Director and/or the designee authorized in writing to act on the Director's behalf.
- B. "COMMERCE" shall mean the Department of Commerce.
- C. "Grant" or "Agreement" means the entire written agreement between COMMERCE and the Grantee, including any Exhibits, documents, or materials incorporated by reference. E-mail or Facsimile transmission of a signed copy of this contract shall be the same as delivery of an original.
- D. "Grantee" shall mean the entity identified on the face sheet performing service(s) under this Grant, and shall include all employees and agents of the Grantee.
- E. "Personal Information" shall mean information identifiable to any person, including, but not limited to, information that relates to a person's name, health, finances, education, business, use or receipt of governmental services or other activities, addresses, telephone numbers, social security numbers, driver license numbers, other identifying numbers, and any financial identifiers.
- F. "State" shall mean the state of Washington.
- G. "Subgrantee/subcontractor" shall mean one not in the employment of the Grantee, who is performing all or part of those services under this Grant under a separate Grant with the Grantee. The terms "subgrantee/subcontractor" refers to any tier.
- H. "Subrecipient" shall mean a non-federal entity that expends federal awards received from a pass-through entity to carry out a federal program, but does not include an individual that is a beneficiary of such a program. It also excludes vendors that receive federal funds in exchange for goods and/or services in the course of normal trade or commerce.
- I. "Vendor" is an entity that agrees to provide the amount and kind of services requested by COMMERCE; provides services under the grant only to those beneficiaries individually determined to be eligible by COMMERCE and, provides services on a fee-for-service or perunit basis with contractual penalties if the entity fails to meet program performance standards.

#### 2. ACCESS TO DATA

In compliance with RCW 39.26.180, the Grantee shall provide access to data generated under this Grant to COMMERCE, the Joint Legislative Audit and Review Committee, and the Office of the State Auditor at no additional cost. This includes access to all information that supports the findings, conclusions, and recommendations of the Grantee's reports, including computer models and the methodology for those models.

#### 3. ADVANCE PAYMENTS PROHIBITED

No payments in advance of or in anticipation of goods or services to be provided under this Grant shall be made by COMMERCE.

#### 4. ALL WRITINGS CONTAINED HEREIN

This Grant contains all the terms and conditions agreed upon by the parties. No other understandings, oral or otherwise, regarding the subject matter of this Grant shall be deemed to exist or to bind any of the parties hereto.

#### 5. AMENDMENTS

This Grant may be amended by mutual agreement of the parties. Such amendments shall not be binding unless they are in writing and signed by personnel authorized to bind each of the parties.

### 6. <u>AMERICANS WITH DISABILITIES ACT (ADA) OF 1990, PUBLIC LAW 101-336, also referred to as</u> the "ADA" 28 CFR Part 35

The Grantee must comply with the ADA, which provides comprehensive civil rights protection to individuals with disabilities in the areas of employment, public accommodations, state and local government services, and telecommunications.

#### 7. ASSIGNMENT

Neither this Grant, nor any claim arising under this Grant, shall be transferred or assigned by the Grantee without prior written consent of COMMERCE.

#### 8. ATTORNEYS' FEES

Unless expressly permitted under another provision of the Grant, in the event of litigation or other action brought to enforce Grant terms, each party agrees to bear its own attorney's fees and costs.

#### 9. CONFIDENTIALITY/SAFEGUARDING OF INFORMATION

- A. "Confidential Information" as used in this section includes:
  - All material provided to the Grantee by COMMERCE that is designated as "confidential" by COMMERCE;
  - 2. All material produced by the Grantee that is designated as "confidential" by COMMERCE; and
  - 3. All personal information in the possession of the Grantee that may not be disclosed under state or federal law. "Personal information" includes but is not limited to information related to a person's name, health, finances, education, business, use of government services, addresses, telephone numbers, social security number, driver's license number and other identifying numbers, and "Protected Health Information" under the federal Health Insurance Portability and Accountability Act of 1996 (HIPAA).
- B. The Grantee shall comply with all state and federal laws related to the use, sharing, transfer, sale, or disclosure of Confidential Information. The Grantee shall use Confidential Information solely for the purposes of this Grant and shall not use, share, transfer, sell or disclose any Confidential Information to any third party except with the prior written consent of COMMERCE or as may be required by law. The Grantee shall take all necessary steps to assure that Confidential Information is safeguarded to prevent unauthorized use, sharing, transfer, sale or disclosure of Confidential Information or violation of any state or federal laws related thereto. Upon request, the Grantee shall provide COMMERCE with its policies and procedures on confidentiality. COMMERCE may require changes to such policies and procedures as they apply to this Grant whenever COMMERCE reasonably determines that changes are necessary to prevent unauthorized disclosures. The Grantee shall make the changes within the time period specified by COMMERCE. Upon request, the Grantee shall immediately return to COMMERCE any Confidential Information that COMMERCE reasonably determines has not been adequately protected by the Grantee against unauthorized disclosure.
- C. Unauthorized Use or Disclosure. The Grantee shall notify COMMERCE within five (5) working days of any unauthorized use or disclosure of any confidential information, and shall take necessary steps to mitigate the harmful effects of such use or disclosure.

#### 10. CONFLICT OF INTEREST

Notwithstanding any determination by the Executive Ethics Board or other tribunal, the COMMERCE may, in its sole discretion, by written notice to the CONTRACTOR terminate this contract if it is found after due notice and examination by COMMERCE that there is a violation

of the Ethics in Public Service Act, Chapters 42.52 RCW and 42.23 RCW; or any similar statute involving the CONTRACTOR in the procurement of, or performance under this contract.

Specific restrictions apply to contracting with current or former state employees pursuant to chapter 42.52 of the Revised Code of Washington. The Grantee and their subcontractor(s) must identify any person employed in any capacity by the state of Washington that worked on the Commerce program administering this Grant, including but not limited to formulating or drafting the legislation, participating in grant procurement planning and execution, awarding grants, and monitoring grants, during the 24 month period preceding the start date of this Grant. Identify the individual by name, the agency previously or currently employed by, job title or position held, and separation date. If it is determined by COMMERCE that a conflict of interest exists, the Grantee may be disqualified from further consideration for the award of a Grant.

In the event this contract is terminated as provided above, COMMERCE shall be entitled to pursue the same remedies against the Grantee as it could pursue in the event of a breach of the contract by the Grantee. The rights and remedies of COMMERCE provided for in this clause shall not be exclusive and are in addition to any other rights and remedies provided by law. The existence of facts upon which COMMERCE makes any determination under this clause shall be an issue and may be reviewed as provided in the "Disputes" clause of this contract.

#### 11. COPYRIGHT

Unless otherwise provided, all Materials produced under this Grant shall be considered "works for hire" as defined by the U.S. Copyright Act and shall be owned by COMMERCE. COMMERCE shall be considered the author of such Materials. In the event the Materials are not considered "works for hire" under the U.S. Copyright laws, the Grantee hereby irrevocably assigns all right, title, and interest in all Materials, including all intellectual property rights, moral rights, and rights of publicity to COMMERCE effective from the moment of creation of such Materials.

"Materials" means all items in any format and includes, but is not limited to, data, reports, documents, pamphlets, advertisements, books, magazines, surveys, studies, computer programs, films, tapes, and/or sound reproductions. "Ownership" includes the right to copyright, patent, register and the ability to transfer these rights.

For Materials that are delivered under the Grant, but that incorporate pre-existing materials not produced under the Grant, the Grantee hereby grants to COMMERCE a nonexclusive, royalty-free, irrevocable license (with rights to sublicense to others) in such Materials to translate, reproduce, distribute, prepare derivative works, publicly perform, and publicly display. The Grantee warrants and represents that the Grantee has all rights and permissions, including intellectual property rights, moral rights and rights of publicity, necessary to grant such a license to COMMERCE.

The Grantee shall exert all reasonable effort to advise COMMERCE, at the time of delivery of Materials furnished under this Grant, of all known or potential invasions of privacy contained therein and of any portion of such document which was not produced in the performance of this Grant. The Grantee shall provide COMMERCE with prompt written notice of each notice or claim of infringement received by the Grantee with respect to any Materials delivered under this Grant. COMMERCE shall have the right to modify or remove any restrictive markings placed upon the Materials by the Grantee.

#### 12. DISPUTES

Except as otherwise provided in this Grant, when a dispute arises between the parties and it cannot be resolved by direct negotiation, either party may request a dispute hearing with the Director of COMMERCE, who may designate a neutral person to decide the dispute.

The request for a dispute hearing must:

- be in writing;
- state the disputed issues;
- state the relative positions of the parties;

- state the Grantee's name, address, and Contract number; and
- be mailed to the Director and the other party's (respondent's) Grant Representative within three (3) working days after the parties agree that they cannot resolve the dispute.

The respondent shall send a written answer to the requestor's statement to both the Director or the Director's designee and the requestor within five (5) working days.

The Director or designee shall review the written statements and reply in writing to both parties within ten (10) working days. The Director or designee may extend this period if necessary by notifying the parties.

The decision shall not be admissible in any succeeding judicial or quasi-judicial proceeding.

The parties agree that this dispute process shall precede any action in a judicial or quasi-judicial tribunal.

Nothing in this Grant shall be construed to limit the parties' choice of a mutually acceptable alternate dispute resolution (ADR) method in addition to the dispute hearing procedure outlined above

#### 13. DUPLICATE PAYMENT

COMMERCE shall not pay the Grantee, if the GRANTEE has charged or will charge the State of Washington or any other party under any other Grant, subgrant/subcontract, or agreement, for the same services or expenses.

#### 14. GOVERNING LAW AND VENUE

This Grant shall be construed and interpreted in accordance with the laws of the state of Washington, and the venue of any action brought hereunder shall be in the Superior Court for Thurston County.

#### 15. INDEMNIFICATION

To the fullest extent permitted by law, the Grantee shall indemnify, defend, and hold harmless the state of Washington, COMMERCE, agencies of the state and all officials, agents and employees of the state, from and against all claims for injuries or death arising out of or resulting from the performance of the contract. "Claim" as used in this contract, means any financial loss, claim, suit, action, damage, or expense, including but not limited to attorneys' fees, attributable for bodily injury, sickness, disease, or death, or injury to or the destruction of tangible property including loss of use resulting therefrom.

The Grantee's obligation to indemnify, defend, and hold harmless includes any claim by Grantee's agents, employees, representatives, or any subgrantee/subcontractor or its employees.

The Grantee expressly agrees to indemnify, defend, and hold harmless the State for any claim arising out of or incident to Grantee's or any subgrantee's/subcontractor's performance or failure to perform the Grant. Grantee's obligation to indemnify, defend, and hold harmless the State shall not be eliminated or reduced by any actual or alleged concurrent negligence of State or its agents, agencies, employees and officials.

The Grantee waives its immunity under Title 51 RCW to the extent it is required to indemnify, defend and hold harmless the state and its agencies, officers, agents or employees.

#### 16. INDEPENDENT CAPACITY OF THE CONTRACTOR

The parties intend that an independent contractor relationship will be created by this Grant. The Contractor and its employees or agents performing under this Contract are not employees or agents of the state of Washington or COMMERCE. The Contractor will not hold itself out as or claim to be an officer or employee of COMMERCE or of the state of Washington by reason hereof, nor will the Contractor make any claim of right, privilege or benefit which would accrue

to such officer or employee under law. Conduct and control of the work will be solely with the Contractor.

#### 17. INDUSTRIAL INSURANCE COVERAGE

The Grantee shall comply with all applicable provisions of Title 51 RCW, Industrial Insurance. If the Grantee fails to provide industrial insurance coverage or fails to pay premiums or penalties on behalf of its employees as may be required by law, COMMERCE may collect from the Grantee the full amount payable to the Industrial Insurance Accident Fund. COMMERCE may deduct the amount owed by the Grantee to the accident fund from the amount payable to the Grantee by COMMERCE under this Contract, and transmit the deducted amount to the Department of Labor and Industries, (L&I) Division of Insurance Services. This provision does not waive any of L&I's rights to collect from the Grantee.

#### **18. LAWS**

The Grantee shall comply with all applicable laws, ordinances, codes, regulations and policies of local, state, and federal governments, as now or hereafter amended.

#### 19. LICENSING, ACCREDITATION AND REGISTRATION

The Grantee shall comply with all applicable local, state, and federal licensing, accreditation and registration requirements or standards necessary for the performance of this Contract.

#### 20. LIMITATION OF AUTHORITY

Only the Authorized Representative or Authorized Representative's delegate by writing (delegation to be made prior to action) shall have the express, implied, or apparent authority to alter, amend, modify, or waive any clause or condition of this Contract. Furthermore, any alteration, amendment, modification, or waiver or any clause or condition of this contract is not effective or binding unless made in writing and signed by the Authorized Representative.

#### 21. NONCOMPLIANCE WITH NONDISCRIMINATION LAWS

During the performance of this Grant, the Grantee shall comply with all federal, state, and local nondiscrimination laws, regulations and policies. In the event of the Grantee's non-compliance or refusal to comply with any nondiscrimination law, regulation or policy, this Grant may be rescinded, canceled or terminated in whole or in part, and the Grantee may be declared ineligible for further grants with COMMERCE. The Grantee shall, however, be given a reasonable time in which to cure this noncompliance. Any dispute may be resolved in accordance with the "Disputes" procedure set forth herein.

The funds provided under this contract may not be used to fund religious worship, exercise, or instruction. No person shall be required to participate in any religious worship, exercise, or instruction in order to have access to the facilities funded by this grant.

#### 22. PAY EQUITY

The Grantee agrees to ensure that "similarly employed" individuals in its workforce are compensated as equals, consistent with the following:

- A. Employees are "similarly employed" if the individuals work for the same employer, the performance of the job requires comparable skill, effort, and responsibility, and the jobs are performed under similar working conditions. Job titles alone are not determinative of whether employees are similarly employed;
- B. Grantee may allow differentials in compensation for its workers if the differentials are based in good faith and on any of the following:
  - (i) A seniority system; a merit system; a system that measures earnings by quantity or quality of production; a bona fide job-related factor or factors; or a bona fide regional difference in compensation levels.

- (ii) A bona fide job-related factor or factors may include, but not be limited to, education, training, or experience that is: Consistent with business necessity; not based on or derived from a gender-based differential; and accounts for the entire differential.
- (iii) A bona fide regional difference in compensation level must be: Consistent with business necessity; not based on or derived from a gender-based differential; and account for the entire differential.

This Grant may be terminated by the Department, if the Department or the Department of Enterprise services determines that the Grantee is not in compliance with this provision.

#### 23. POLITICAL ACTIVITIES

Political activity of Contractor employees and officers are limited by the State Campaign Finances and Lobbying provisions of Chapter 42.17A RCW and the Federal Hatch Act, 5 USC 1501 - 1508.

No funds may be used for working for or against ballot measures or for or against the candidacy of any person for public office.

#### 24. PUBLICITY

The Grantee agrees not to publish or use any advertising or publicity materials in which the state of Washington or COMMERCE's name is mentioned, or language used from which the connection with the state of Washington's or COMMERCE's name may reasonably be inferred or implied, without the prior written consent of COMMERCE.

#### 25. RECAPTURE

In the event that the Grantee fails to perform this Grant in accordance with state laws, federal laws, and/or the provisions of this Grant, COMMERCE reserves the right to recapture funds in an amount to compensate COMMERCE for the noncompliance in addition to any other remedies available at law or in equity.

Repayment by the Grantee of funds under this recapture provision shall occur within the time period specified by COMMERCE. In the alternative, COMMERCE may recapture such funds from payments due under this Grant.

#### **26. RECORDS MAINTENANCE**

The Grantee shall maintain books, records, documents, data and other evidence relating to this Grant and performance of the services described herein, including but not limited to accounting procedures and practices that sufficiently and properly reflect all direct and indirect costs of any nature expended in the performance of this Grant.

The Grantee shall retain such records for a period of six years following the date of final payment. At no additional cost, these records, including materials generated under the Grant, shall be subject at all reasonable times to inspection, review or audit by COMMERCE, personnel duly authorized by COMMERCE, the Office of the State Auditor, and federal and state officials so authorized by law, regulation or agreement.

If any litigation, claim or audit is started before the expiration of the six (6) year period, the records shall be retained until all litigation, claims, or audit findings involving the records have been resolved.

#### 27. REGISTRATION WITH DEPARTMENT OF REVENUE

If required by law, the Grantee shall complete registration with the Washington State Department of Revenue.

#### 28. RIGHT OF INSPECTION

The Grantee shall provide right of access to its facilities to COMMERCE, or any of its officers, or to any other authorized agent or official of the state of Washington or the federal government, at all reasonable times, in order to monitor and evaluate performance, compliance, and/or quality assurance under this Grant.

#### 29. SAVINGS

In the event funding from state, federal, or other sources is withdrawn, reduced, or limited in any way after the effective date of this Grant and prior to normal completion, COMMERCE may suspend or terminate the Grant under the "Termination for Convenience" clause, without the ten calendar day notice requirement. In lieu of termination, the Grant may be amended to reflect the new funding limitations and conditions.

#### **30. SEVERABILITY**

The provisions of this Grant are intended to be severable. If any term or provision is illegal or invalid for any reason whatsoever, such illegality or invalidity shall not affect the validity of the remainder of the Grant.

#### 31. SITE SECURITY

While on COMMERCE premises, Grantee, its agents, employees, or subcontractors shall conform in all respects with physical, fire or other security policies or regulations.

#### 32. SUBGRANTING/SUBCONTRACTING

The Grantee may only subcontract work contemplated under this Grant if it obtains the prior written approval of COMMERCE.

If COMMERCE approves subcontracting, the Grantee shall maintain written procedures related to subcontracting, as well as copies of all subcontracts and records related to subcontracts. For cause, COMMERCE in writing may: (a) require the Grantee to amend its subcontracting procedures as they relate to this Grant; (b) prohibit the Grantee from subcontracting with a particular person or entity; or (c) require the Grantee to rescind or amend a subcontract.

Every subcontract shall bind the Subcontractor to follow all applicable terms of this Grant. The Grantee is responsible to COMMERCE if the Subcontractor fails to comply with any applicable term or condition of this Grant. The Grantee shall appropriately monitor the activities of the Subcontractor to assure fiscal conditions of this Grant. In no event shall the existence of a subcontract operate to release or reduce the liability of the Grantee to COMMERCE for any breach in the performance of the Grantee's duties.

Every subcontract shall include a term that COMMERCE and the State of Washington are not liable for claims or damages arising from a Subcontractor's performance of the subcontract.

#### 33. SURVIVAL

The terms, conditions, and warranties contained in this Grant that by their sense and context are intended to survive the completion of the performance, cancellation or termination of this Grant shall so survive.

#### **34. TAXES**

All payments accrued on account of payroll taxes, unemployment contributions, the Grantee's income or gross receipts, any other taxes, insurance or expenses for the Grantee or its staff shall be the sole responsibility of the Grantee.

#### 35. TERMINATION FOR CAUSE

In the event COMMERCE determines the Grantee has failed to comply with the conditions of this Grant in a timely manner, COMMERCE has the right to suspend or terminate this Grant. Before suspending or terminating the Grant, COMMERCE shall notify the Grantee in writing of the need to take corrective action. If corrective action is not taken within 30 calendar days, the Grant may be terminated or suspended.

In the event of termination or suspension, the Grantee shall be liable for damages as authorized by law including, but not limited to, any cost difference between the original Grant and the

replacement or cover Grant and all administrative costs directly related to the replacement Grant, e.g., cost of the competitive bidding, mailing, advertising and staff time.

COMMERCE reserves the right to suspend all or part of the Grant, withhold further payments, or prohibit the Grantee from incurring additional obligations of funds during investigation of the alleged compliance breach and pending corrective action by the Grantee or a decision by COMMERCE to terminate the Grant. A termination shall be deemed a "Termination for Convenience" if it is determined that the Grantee: (1) was not in default; or (2) failure to perform was outside of his or her control, fault or negligence.

The rights and remedies of COMMERCE provided in this Grant are not exclusive and are, in addition to any other rights and remedies, provided by law.

#### **36. TERMINATION FOR CONVENIENCE**

Except as otherwise provided in this Grant, COMMERCE may, by ten (10) business days written notice, beginning on the second day after the mailing, terminate this Grant, in whole or in part. If this Grant is so terminated, COMMERCE shall be liable only for payment required under the terms of this Grant for services rendered or goods delivered prior to the effective date of termination.

#### **37. TERMINATION PROCEDURES**

Upon termination of this Grant, COMMERCE, in addition to any other rights provided in this Grant, may require the Grantee to deliver to COMMERCE any property specifically produced or acquired for the performance of such part of this Grant as has been terminated. The provisions of the "Treatment of Assets" clause shall apply in such property transfer.

COMMERCE shall pay to the Grantee the agreed upon price, if separately stated, for completed work and services accepted by COMMERCE, and the amount agreed upon by the Grantee and COMMERCE for (i) completed work and services for which no separate price is stated, (ii) partially completed work and services, (iii) other property or services that are accepted by COMMERCE, and (iv) the protection and preservation of property, unless the termination is for default, in which case the Authorized Representative shall determine the extent of the liability of COMMERCE. Failure to agree with such determination shall be a dispute within the meaning of the "Disputes" clause of this Grant. COMMERCE may withhold from any amounts due the Grantee such sum as the Authorized Representative determines to be necessary to protect COMMERCE against potential loss or liability.

The rights and remedies of COMMERCE provided in this section shall not be exclusive and are in addition to any other rights and remedies provided by law or under this contract.

After receipt of a notice of termination, and except as otherwise directed by the Authorized Representative, the Grantee shall:

- 1. Stop work under the Grant on the date, and to the extent specified, in the notice;
- 2. Place no further orders or subgrants/subcontracts for materials, services, or facilities except as may be necessary for completion of such portion of the work under the Grant that is not terminated;
- Assign to COMMERCE, in the manner, at the times, and to the extent directed by the Authorized Representative, all of the rights, title, and interest of the Grantee under the orders and subgrants/subcontracts so terminated, in which case COMMERCE has the right, at its discretion, to settle or pay any or all claims arising out of the termination of such orders and subgrants/subcontracts;
- 4. Settle all outstanding liabilities and all claims arising out of such termination of orders and subcontracts, with the approval or ratification of the Authorized Representative to the extent

the Authorized Representative may require, which approval or ratification shall be final for all the purposes of this clause;

- 5. Transfer title to COMMERCE and deliver in the manner, at the times, and to the extent directed by the Authorized Representative any property which, if the Grant had been completed, would have been required to be furnished to COMMERCE;
- 6. Complete performance of such part of the work as shall not have been terminated by the Authorized Representative; and
- 7. Take such action as may be necessary, or as the Authorized Representative may direct, for the protection and preservation of the property related to this Grant, which is in the possession of the Grantee and in which COMMERCE has or may acquire an interest.

#### **38. TREATMENT OF ASSETS**

Title to all property furnished by COMMERCE shall remain in COMMERCE. Title to all property furnished by the Grantee, for the cost of which the Grantee is entitled to be reimbursed as a direct item of cost under this Grant, shall pass to and vest in COMMERCE upon delivery of such property by the Grantee. Title to other property, the cost of which is reimbursable to the Grantee under this Grant, shall pass to and vest in COMMERCE upon (i) issuance for use of such property in the performance of this Grant, or (ii) commencement of use of such property in the performance of this Grant, or (iii) reimbursement of the cost thereof by COMMERCE in whole or in part, whichever first occurs.

- A. Any property of COMMERCE furnished to the Grantee shall, unless otherwise provided herein or approved by COMMERCE, be used only for the performance of this Grant.
- B. The Grantee shall be responsible for any loss or damage to property of COMMERCE that results from the negligence of the Grantee or which results from the failure on the part of the Grantee to maintain and administer that property in accordance with sound management practices.
- C. If any COMMERCE property is lost, destroyed or damaged, the Grantee shall immediately notify COMMERCE and shall take all reasonable steps to protect the property from further damage.
- D. The Grantee shall surrender to COMMERCE all property of COMMERCE prior to settlement upon completion, termination or cancellation of this Grant
  - All reference to the Grantee under this clause shall also include Grantee's employees, agents or Subgrantees/Subcontractors.

#### 39. WAIVER

Waiver of any default or breach shall not be deemed to be a waiver of any subsequent default or breach. Any waiver shall not be construed to be a modification of the terms of this Grant unless stated to be such in writing and signed by Authorized Representative of COMMERCE.

# Department of Commerce Job Readiness and Training FY22.23

#### Attachment A

### Scope of Work

This scope of work lists "What must be accomplished by the Organization." Applicants will propose "how" they plan to complete this scope of work in this Request for Applications format.

#### **Program Description**

This grant will assist a non-profit organization with funds to provide job readiness skills and training for traditionally underrepresented populations with the purpose of supporting the transition into a registered apprenticeship, trade training, or employment. The non-profit organization must serve traditionally underrepresented populations in King and Pierce Counties, focusing on youth development programs.

Grant activities must include, but are not limited to, counseling and training in support of the goals of:

- (a) Minimizing barriers to transitioning into an apprenticeship, trade training program, or employment for participants;
- (b) Increasing participants' workforce and life balance skills; and
- (c) Increasing participants' specialized skills and knowledge in targeted industries, including construction, urban agriculture, and maritime trades.

#### **Program Activities**

The Apparent Successful Grantee and COMMERCE will negotiate this scope of work to integrate more of <u>"how"</u> the scope of work will be accomplished once the grant application period closes and prior to execution of a grant agreement.