

COLLATERAL SUPPORT PROGRAM LOAN ENROLLMENT FORM

Loan Officer Name _____ <small>Last First</small>	Phone _____
Loan Officer Email _____	Lending Institution _____
Loan #: _____	Date Application Received: _____

Borrower Information

Business Name _____	DBA _____		
Address _____	City _____	County _____	Zip _____
Business Contact Name _____ <small>Last First</small>	Contact Phone Number _____		
Type of Business/Activities _____	What year was the business incorporated or opened? _____		
Annual Revenues Last Fiscal Year \$ _____	Average Annual Revenue Last 3 Years \$ _____		
Number of Employees _____	Number of Full Time Equivalents _____	Jobs created _____	Jobs retained _____
Is this business a start-up? <input type="checkbox"/> Yes <input type="checkbox"/> No	Is this business a Non-Profit? <input type="checkbox"/> Yes <input type="checkbox"/> No		
NAICS Code _____	Census Tract # _____	EIN # _____	DUNS # _____
Is business minority owned? <input type="checkbox"/> Yes <input type="checkbox"/> No <input type="checkbox"/> Decline to Answer	Is business woman owned? <input type="checkbox"/> Yes <input type="checkbox"/> No <input type="checkbox"/> Decline to Answer		
Is business veteran owned? <input type="checkbox"/> Yes <input type="checkbox"/> No <input type="checkbox"/> Decline to Answer			
Has this lender or an affiliate made a previous loan to this borrower? <input type="checkbox"/> Yes <input type="checkbox"/> No			

Loan Information

Bridge Loan Term: _____	Total Loan Amount: \$ _____
SBA 504 Loan Purpose: _____	
Does this loan involve real estate? <input type="checkbox"/> Yes <input type="checkbox"/> No	If Yes, please list holding company if any: _____
Will loan monies be used at above address? <input type="checkbox"/> Yes <input type="checkbox"/> No	If no, location where loan will be used: _____
Date of First Disbursement (Date of Loan) _____	Maturity Date _____
Borrower Cash in \$ _____ %	CSP fee % rate: _____ CSP fee (\$): _____
Is this loan a refinance of an existing loan? <input type="checkbox"/> Yes <input type="checkbox"/> No	Purpose of original loan _____
If this loan is being made in connection with any government loan or guarantee program other than the Small Business Administration please list: _____ _____	
Other Information: _____ _____	
Please attach documentation of SBA 504 loan approval <input type="checkbox"/> Yes <input type="checkbox"/> No	

By enrolling this loan the Participating Financial Institution certifies, based in part on information provided by the Borrower (please initial each item):

- The loan is a qualified loan as defined by the U.S. Treasury in their SSBCI guidelines in Section VI part iii.
- The business receiving the qualified loan is a qualified business, as defined by the U.S. Treasury in their SSBCI guidelines in Section VI part iii.
- The qualified loan is for a business purpose that has its Primary Economic Effect in Washington and qualifies under Section 3005(e)(7) of the Lobbying Disclosure Act of 1995 and under P.L. 104-65, as amended.
- The Participating Financial Institution will provide information from financial records of the Borrower upon request of the WSBCI representative, and the Participating Financial Institution has obtained the consent of the Borrower to such disclosure.
- The enrolled amount of the loan does not exceed \$5,000,000.
- The Participating Financial Institution has notified the Borrower if the Participating Financial Institution's share of the Fees for the Qualified Loan has been paid by the Borrower.
- The Borrower does not meet the Participating Financial Institution's normal underwriting criteria for making loans of the type sought to be enrolled and that without enrollment, the Participating Financial Institution would not make the loan.

For participation in the Federal fund, the Participating Financial Institution has obtained the following signed certifications from the Borrower:

- Borrower Certification for Use of Proceeds
- Borrower Sex Offender Certification

For participation in the Federal fund, the Participating Financial Institution hereby certifies that:

- The loan has not been made in order to place under the protection of the WCAP prior debt that is not covered under the WCAP and that is or was owed by the borrower to the Participating Financial Institution or to an affiliate of the Participating Financial Institution;
- The loan is not a refinancing of a loan previously made to the borrower by the Participating Financial Institution or one of its affiliates. An exception may be made if the loan supports a new extension of credit that repays the amount due on a mature loan or line of credit when conditions outlined in Chapter VI, Section B, Eligibility Question 3 of the WCAP Lender Manual Apply; and
- No principal of the Participating Financial Institution has been convicted of a sex offense against a minor (as such terms are defined in section 111 of the Sex Offender Registration and Notification Act (42 U.S.C. 16911)), OR the Participating Financial Institution has previously completed an annual certification to this affect which is still active.*
- The Participating Financial Institution acknowledges that its lending activities are subject to safety and soundness standards as set forth in any applicable federal banking regulations.

Authorized Lender Signature

Title

Date

The undersigned hereby certifies that all information stated in this form is complete and accurate to the best of their knowledge.

*For the purposes of this certification, "principal" is defined as "if a sole proprietorship, the proprietor; if a partnership, each partner; if a corporation, limited liability company, association or a development company, each director, each of the five most highly compensated executives, officers, or employees of the entity, and each direct or indirect holder of 20% or more of the ownership stock or stock equivalent of the entity."

For questions on "**allowed use of funds**", please contact your local SBA lender.